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## ASSESSING OVERREACHING WITH HRR: WHAT IS THE MINIMAL EXERCISE INTENSITY REQUIRED?

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**ABSTRACT**

**Purpose:** Faster heart rate recovery (HRR) following high-to-maximal exercise ( $\geq 90\%$  HR<sub>max</sub>) has been reported in athletes suspected of functional overreaching (f-OR). This study investigated whether this response would also occur at lower exercise intensity. **Methods and Results:** HRR and rate of perceived exertion (RPE) responses were compared during an incremental intermittent running protocol to exhaustion in twenty experienced male triathletes (8 control and 13 overload subjects led to f-OR) before (Pre), immediately after an overload training period (Mid) and following a 1-week taper (Post). Both groups demonstrated an increase in HRR values at Mid, but this change was *very likely to almost certainly larger* in the f-OR group at all running intensities (*large to very large* differences, e.g.  $+16 \pm 7$  bpm vs.  $+3 \pm 5$  bpm, in the f-OR and control groups at  $11 \text{ km}\cdot\text{h}^{-1}$ , respectively). The highest between-group differences in changes in HRR were reported at  $11 \text{ km}\cdot\text{h}^{-1}$  ( $13 \pm 4$  bpm) and  $12 \text{ km}\cdot\text{h}^{-1}$  ( $10 \pm 6$  bpm). A concomitant increase in RPE at all intensities was reported only in the f-OR group (*large-to-extremely large* differences,  $+2.1 \pm 1.5$  to  $+0.7 \pm 1.5$  AU). **Conclusion:** These findings confirm that faster HRR does not systematically predict better physical performance. However, when interpreted in the context of the athletes' fatigue state and training phase, HRR following submaximal exercise may be more discriminant than HRR measures taken following maximal exercise for monitoring f-OR. These findings may be applied in practice by regularly assessing HRR following submaximal exercise (i.e., warm-up) for monitoring endurance athletes responses to training.

**Keywords:** fatigue, overtraining, heart rate, cardiac response, endurance training

## 1 INTRODUCTION

2  
3 In many endurance sports, the competitive season involves a series of events that stretch over several  
4 weeks or months (e.g. cycling, triathlon, biathlon). In this context, regular peaking for major  
5 competitions (e.g. each month, every other week etc.) often poses the problem for coaches and athletes  
6 in deciding whether they should focus training toward developing fitness (i.e. overload training)  
7 between events, or to reduce training loads between events to optimize recovery. Whilst both  
8 approaches may be appropriate for different athletes at different times, these decisions should be  
9 informed by the athletes current training status and the period between events and the relative  
10 importance of each event. Regardless of the strategy employed, it is often impractical to follow best  
11 practise recommendations for taper periods (i.e. large training volume reduction (~50%) over a  
12 prolonged period (~1 or 2 weeks) when competitions are close together) as this may lead to detraining.  
13 Conversely, the combination of frequent competitions interspersed with short tapers increases the risk  
14 of persistent fatigue.<sup>1</sup> Indeed, when the balance between appropriate training stress and adequate  
15 recovery is disrupted, an abnormal training response may occur, inducing short-term "overreaching"  
16 (functional OR, f-OR)<sup>1</sup> which results in a decline in performance. Whilst f-OR is generally reversed  
17 after a short recovery period (~1-2 weeks),<sup>1</sup> it can compromise the immediate competition  
18 performance. Even if recent researches have shown that training diaries with subjective response may  
19 provide useful "warning signals" to both athletes and coaches during overload training/competitive  
20 periods<sup>2, 3</sup> the currently accepted method for diagnosing f-OR is to monitor performance after  
21 completion of a resting period of several days or weeks.<sup>4</sup> Unfortunately, this retrospective method of  
22 diagnosis is often rejected by coaches and athletes because it may disrupt the planned training and  
23 result in detraining. It is therefore important to identify early markers of f-OR for endurance athletes  
24 who require large training loads to achieve peak performance.

25  
26 Among the myriad of markers reported to be suitable for monitoring training, heart rate  
27 recovery (HRR) has been suggested to be a promising non-invasive objective measure that can be used  
28 to identify if an athlete is adapting to training.<sup>5, 6</sup> Several studies have shown a faster HRR following  
29 high-intensity exercise in endurance athletes suspected of f-OR,<sup>7-9</sup> suggesting that this parameter is  
30 sensitive to the development of f-OR. However, this response has only been reported after high-to-  
31 maximal exercise bouts (i.e.  $\geq 90\%$  HRmax).<sup>7-9</sup> From a practical stand point, the requirement for near-  
32 maximal or maximal exercises may limit its wider application as a monitoring tool for endurance  
33 athletes as it is likely that a coach would be hesitant to implement an intense exercise bout to detect  
34 OR in already fatigued athletes, as it may further exacerbate this condition. Therefore, if HRR  
35 following lower or moderate-intensity exercise was to respond in a similar manner than after high-  
36 intensity exercise, it may be more suitable to monitor training responses. Therefore, to assess the value  
37 of HRR following sub-maximal exercise to assess f-OR, we re-visited known data sets describing the

38 HR(R) response of triathletes developing f-OR during a 3-week overload training block.<sup>10</sup> The  
39 intermittent discontinuous running test used before and after the development of OR allowed repeated  
40 HRR measures across a large exercise intensity spectrum (~60%–100% of maximal aerobic speed  
41 [MAS]).

42

43

#### 44 MATERIAL AND METHODS

45

46 **Participants and Training Intervention.** Twenty well-trained triathletes (age  $32 \pm 8$  y,  $VO_{2max}$   $62 \pm 3$   
47 mL  $O_2 \cdot min^{-1} \cdot kg^{-1}$ , and estimated maximum aerobic speed  $18.2 \pm 1.1$  km/h) were assigned to either an  
48 overload training (n = 13) or control group (n = 8). The trained triathletes underwent a 5-week training  
49 intervention consisting of 1 week of a baseline phase (50% of their normal training load) and 3 weeks  
50 of habitual (control group) or overload training (40% increase in training load), followed by a 1-week  
51 taper (same as baseline training) and has been described in detail elsewhere.<sup>10</sup>

52

53 **Performance Test.** At the end of each training phase, the participants performed an discontinuous  
54 incremental running test to volitional exhaustion (starting at 11 km.h<sup>-1</sup> for 3 min and increasing speed  
55 by 1 km.h<sup>-1</sup> every 3 min thereafter) on a 340-m running track. A passive rest period of 1-min was  
56 provided between each running step. Running performance was defined as the total distance covered  
57 during the test until exhaustion.

58

59 **HRR.** Heart rate values were monitored every second using a HR monitor (RS800sd, Polar Electro,  
60 Kempele, Finland) and subsequently averaged every 5 s. HRR was assessed during the 1-min recovery  
61 period occurring at the end of each running step test and reported as the difference between the HR at  
62 cessation of exercise and the HR recorded at the end of the recovery period (i.e. 60 s after).<sup>11</sup>

63

64 **RPE.** The rating of perceived exertion (RPE) was provided verbally using the 6–20 Borg scale  
65 immediately at the end of running step and at exercise cessation.<sup>12</sup>

66

#### 67 *Statistical analysis*

68

69 Data were assessed for practical significance using magnitude-based inferences.<sup>13</sup> All data  
70 were log-transformed prior to analysis to reduce bias arising from non-uniformity of error. To  
71 compare within-trial changes between trials, we used a modified statistical spreadsheet.<sup>14</sup> This  
72 spreadsheet calculates the between-trial standardised differences or effect sizes (ES, 90 % confidence  
73 interval [CI]) using the pooled standard deviation. Threshold values for ES statistics were  $\leq 0.2$   
74 (*trivial*),  $>0.2$  (*small*),  $>0.6$  (*moderate*),  $>1.2$  (*large*),  $>2.0$  (*very large*), and  $>4.0$  (*extremely large*). In

75 addition, we calculated probabilities to establish whether the true (unknown) differences were lower,  
76 similar or higher than the smallest worthwhile change or difference (i.e.  $ES \leq 0.2$ , trivial). Quantitative  
77 chances of higher or lower differences were evaluated qualitatively as follows: <1%, *almost certainly*  
78 *not*; 1-5%, *very unlikely*; 5-25%, *unlikely*; 25-75%, *possible*; 75-95%, *likely*; 95-99%, *very likely*;  
79 >99%, *almost certain*. If the chance of higher or lower differences was >5%, the true difference was  
80 assessed as *unclear*. Otherwise, we interpreted that change as the observed chance. Data in text and  
81 figures are presented as mean  $\pm$ 90% CI.

82

83

## 84 RESULTS

85

86 **Performance.** At the end of the overload period, running performance was *almost certainly* decreased  
87 in the intensified training group compared with its Pre value ( $-9.0 \pm 2.0\%$  of Pre value). When  
88 associated with a higher perceived fatigue at rest, this performance decrement was followed by an  
89 *almost certain large* performance supercompensation during the taper, characterizing a state of  
90 functional overreaching (f-OR).<sup>1</sup> Within-group changes in performance in the control group were  
91 *likely trivial* during the same periods.

92

93 **HRR.** The control group demonstrated a *likely-to-very likely* faster HRR at all exercise intensities at  
94 Mid and Post versus Pre (*moderate*, mean increase from  $+3 \pm 5$  to  $+6 \pm 8$  bpm, Figure 1). An *almost*  
95 *certain* increase in HRR was observed at Mid versus Pre at all exercise intensities in the f-OR group  
96 (*very large-to-extremely large*, mean increase from  $+9 \pm 8$  to  $+16 \pm 7$  bpm, Figure 1), with greater  
97 increases at  $11 \text{ km}\cdot\text{h}^{-1}$  ( $+16 \pm 7$  bpm) and  $12 \text{ km}\cdot\text{h}^{-1}$  ( $+14 \pm 10$  bpm). Between-group differences in  
98 change from Pre to Mid were *very likely-to-almost certainly larger* in the f-OR group at all running  
99 intensities (*large to very large* differences, mean difference in change from  $-13 \pm 4$  to  $-6 \pm 6$  bpm).

100

101 **RPE.** Within-group changes in RPE from Pre were *unclear* in the control group at Mid and Post at all  
102 intensities. The f-OR group demonstrated a *likely-to-almost certain* increase in RPE at all running  
103 speeds during the overload period versus Pre (*very large-to-extremely large* increases, mean decrease  
104 from  $+1.1 \pm 0.9$  to  $+1.9 \pm 2.1$  AU). The between-group differences in change was systematically *likely*  
105 *to very likely* substantial between Pre and Mid (*large-to-extremely large* differences,  $+2.1 \pm 1.5$  to  $+0.7$   
106  $\pm 1.5$  AU).

107

108

## 109 DISCUSSION

110

111 This study demonstrates that the faster HRR associated with f-OR in trained endurance  
112 athletes can be observed over a wide range of exercise intensities (~60-100% of MAS). More  
113 specifically, we observed that the magnitude of the acceleration of HRR with f-OR was the greatest at  
114 the lowest intensities (~60-65% of MAS).

115 Although previous studies have shown that a faster HRR may be indicative of an enhanced  
116 training status,<sup>6</sup> the present results confirm that this may not always occur. The present findings show  
117 that a faster HRR following a standardized submaximal test (e.g. a warm-up) combined with a higher  
118 RPE may be a practical early marker of f-OR in endurance athletes. In fact, the greater HRR change in  
119 response to f-OR after submaximal bouts may compensate for the slightly lower reliability of HRR  
120 after lower intensity bouts.<sup>15, 16</sup> The increased HRR response at lower intensities likely leads to a  
121 greater signal-to-noise ratio and, in turn, to an improved sensitivity compared to the HRR response  
122 following higher intensity bouts. This new approach for detecting f-OR is likely to be attractive to  
123 coaches and athletes as it is a relatively non-invasive measure and fulfills the suggested criteria for a  
124 suitable marker for detecting f-OR<sup>17</sup> [i.e. 1) objective; 2) not easily manipulated; 3) applicable in  
125 training practice; 4) not too demanding for athletes; 5) affordable for the majority of athletes; and, 6)  
126 based on a theoretical framework]. As further evidence of the suitability of this approach for  
127 monitoring endurance training, HRR returned to its baseline value at the end of the taper phase, when  
128 the signs of f-OR had dissipated. These observations further demonstrate the sensitivity of HRR to  
129 changes athletes training states.

130 Because the control group revealed a likely increase in HRR during the training period in the  
131 absence of any signs of f-OR (i.e., low perceived fatigue at rest, no increase in RPE and unaltered  
132 performance), the present results show also that HRR should always be interpreted in the context of  
133 the specific training phase while considering the magnitude of HRR change and the perceptual  
134 response to training (i.e. perceived fatigue at rest and RPE). A faster HRR may only reflect a positive  
135 response to training, when it is associated with low-to-moderate level of perceived fatigue and  
136 decrease in RPE at a given submaximal exercise intensity. In contrast, a large increase in HRR during  
137 an overload training period coupled with high perceived fatigue at rest and a higher RPE during a  
138 standardized warm-up may in contrast suggest the development of f-OR. Whilst the value of the  
139 combined HR and RPE responses for monitoring training adaptations in soccer players has already  
140 been confirmed by Buchheit et al.<sup>18</sup>; the present study is the first to assess the usefulness of this  
141 approach with f-OR endurance athletes. The current results reinforce the necessity to systematically  
142 associate HR monitoring variables with perceptual measures during submaximal testing to limit the  
143 risk of misinterpretation, and confirms that a mixed-methods approach to monitoring should include  
144 both subjective and objective measures.<sup>1</sup> This integrated approach may be the optimal method for  
145 tracking athletes responses to training and indentifying signs of OR in endurance athletes (see typical  
146 example in Figure 2).<sup>19</sup>

147 The faster HRR reported at all exercise intensities in the control group was not associated with  
148 any clear change in maximal HR at exhaustion ( $+1 \pm 1$  bpm, *trivial*). This response suggests a change  
149 in the autonomic modulation during the immediate post-exercise recovery period (i.e. larger  
150 parasympathetic reactivation and/or sympathetic withdrawal) but not during exercise in this group. In  
151 contrast, increased HRR values were associated with an *almost certain* reduced maximal HR in the f-  
152 OR group ( $-9 \pm 4$  bpm, *moderate*). This finding suggests a downregulation of the sympathetic nervous  
153 system and/or an increased parasympathetic activity both at exercise and during the immediate post-  
154 exercise recovery phase. Unfortunately, because we did not determine any further specific markers,  
155 the contribution of these different mechanisms to the outcome (i.e. maximal HR, HRR) cannot be  
156 defined and any interpretation would be speculative. Nevertheless, since the parasympathetic nervous  
157 system activity is progressively reduced during an incremental exercise,<sup>20</sup> the progressive reduction in  
158 HRR acceleration reported with exercise intensity may indicate an increased vagal activity in the f-OR  
159 group at the end of the overload period. Without excluding the possibility of a reduced  
160 catecholaminergic response to intense exercise, this assumption is in line with previous investigators<sup>21</sup>  
161 <sup>10</sup>, who have reported a progressive increase in the parasympathetic activity of resting HR in  
162 endurance athletes with f-OR. Further investigations involving autonomic blockades are required to  
163 test this hypothesis.

164

## 165 CONCLUSION

166 The present findings provide new information demonstrating a faster HRR after a wide of  
167 exercise intensities (~60–100% of MAS) in trained triathletes who developed f-OR during an overload  
168 training program. This finding confirms that faster HRR does not systematically predict better physical  
169 performance and demonstrates that when interpreted in the context of the athletes' fatigue state and  
170 training phase, HRR may be a practical tool for monitoring the response to training, without requiring  
171 to complete a training session at maximal intensity.

172

## 173 PRACTICAL IMPLICATIONS

- 174 • Endurance athletes should reproduce a standardized warm-up routine regularly (e.g. weekly  
175 during intensified training periods) to track changes in HR, HRR and RPE changes at a given  
176 sub-maximal intensity in order to track the response to training.
- 177 • A faster HRR does not systematically predict better physical performance.
- 178 • The interpretation of HRR should always be made in relation to the specific training phase of  
179 an endurance training program and the perceptual response to training (i.e. perceived fatigue at  
180 rest or RPE).
- 181 • A faster HRR and an increased RPE at submaximal intensity (~60 % of MAS) associated with  
182 a high perceived fatigue at rest may be an early sign of functional overreaching.

183



184

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**Figure 1** – Changes in heart rate recovery (HRR) at all running intensities during the maximal incremental running test during the overload period. f-OR: functional overreaching.

**Figure 2** – Typical example of HR and RPE responses before and after the overload training period in a participant developing functional overreaching (i.e. decreased performance and high perceived fatigue). Note that the HR and HRR responses at the beginning of the test (i.e. low intensity running) could suggest a good adaptation to training when considered in isolation. The combination with RPE values analysis indicates the development of the functional overreaching state.

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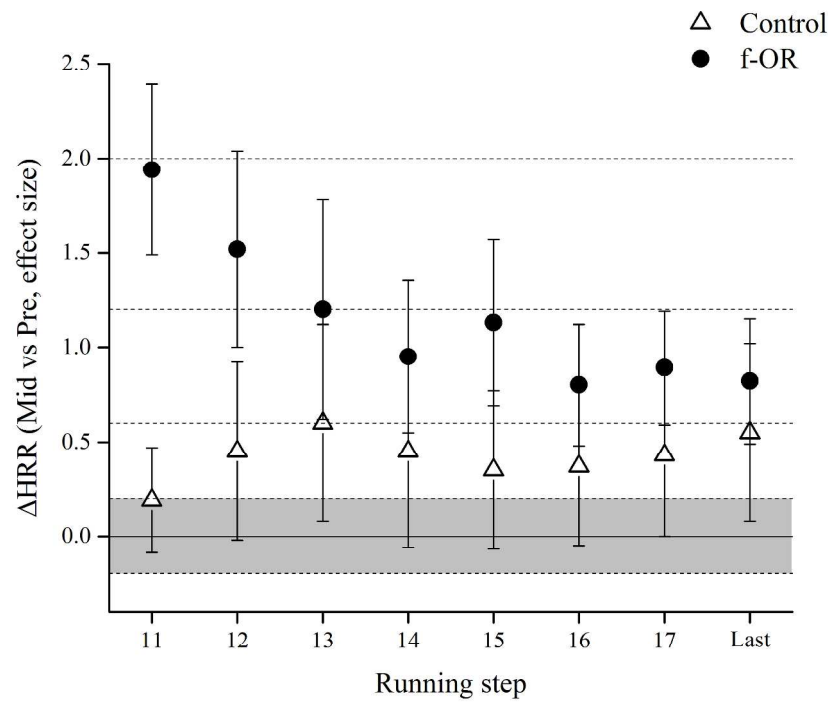


Figure 1 – Changes in heart rate recovery (HRR) at all running intensities during the maximal incremental running test during the overload period. f-OR: functional overreaching.  
272x208mm (300 x 300 DPI)

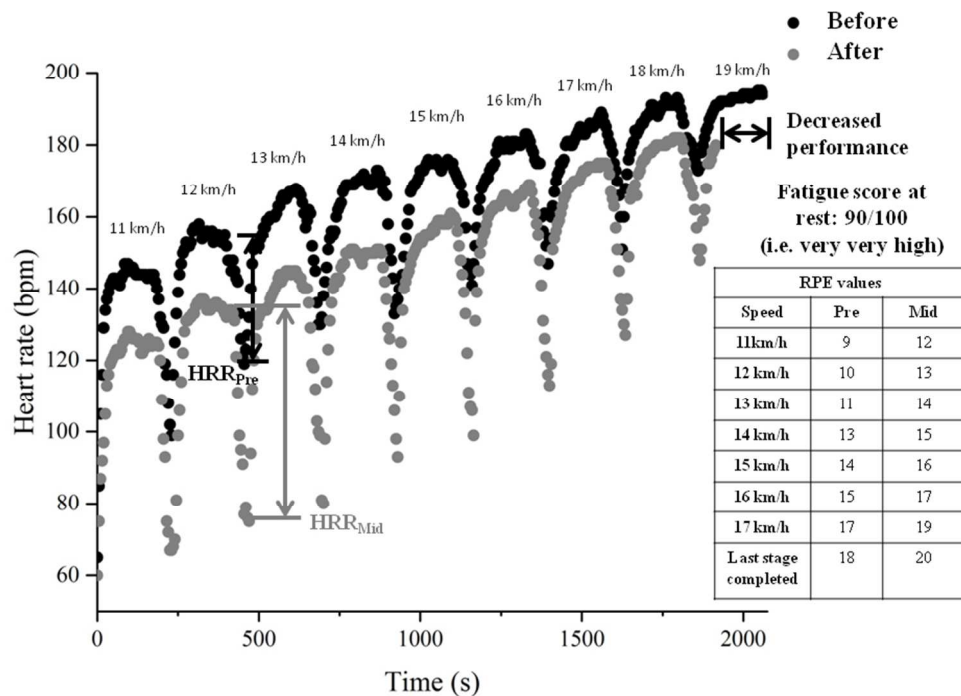


Figure 2 – Typical example of HR and RPE responses before and after the overload training period in a participant developing functional overreaching (i.e. decreased performance and high perceived fatigue). Note that the HR and HRR responses at the beginning of the test (i.e. low intensity running) could suggest a good adaptation to training when considered in isolation. The combination with RPE values analysis indicates the development of the functional overreaching state.

254x190mm (96 x 96 DPI)